

Shifting perspectives on coastal impacts and adaptation

IPCC reports reflect evolving attitudes in adapting to sea-level rise by taking a systems approach and recognises multiple responses exist to achieve a lower risk coast

Sally Brown^{1,2*}, Robert J Nicholls^{1,2}, Susan Hanson^{1,2}, Geoff Brundrit³, John A Dearing⁴, Mark Dickson⁵, Shari Gallop⁶, Shu Gao⁷, Ivan D Haigh^{2,6}, Jochen Hinkel⁸, José A Jiménez⁹, Richard J T Klein^{10,11}, Wolfgang Kron¹², Attila N Lázár^{1,2}, Claudio Freitas Neves¹³, Alice Newton^{14,15}, Charitha Pattiaratchi¹⁶, Andres Payo¹⁷, Kenneth Pye¹, Agustín Sánchez-Arcilla⁹, Mark Siddall¹⁸, Ali Shareef¹⁹, Emma L Tompkins^{2,4}, Athanasios Vafeidis²⁰, Barend van Maanen¹, Philip J Ward²¹, Colin D Woodroffe²².

*Corresponding author: sb20@soton.ac.uk; +44(0)2380 594796

¹ Faculty of Engineering and the Environment, University of Southampton, University Road, Highfield Southampton, SO17 1BJ. UK

² Tyndall Centre for Climate Change Research.

³ Department of Oceanography, University of Cape Town, Private Bad X3, Rondebosch 7701, South Africa.

⁴ Geography and Environment, University of Southampton, University Road, Highfield Southampton, SO17 1BJ. UK

⁵ School of Environment, University of Auckland, Human Science Building, 10 Symonds Street, Auckland. New Zealand.

⁶ Ocean and Earth Science, University of Southampton, National Oceanography Centre, European Way, Southampton, SO14 3ZH. UK.

⁷ Ministry of Education Key Laboratory for Coast and Island Development, Nanjing University, Nanjing 210023. China.

⁸ Adaptation and Social Learning, Global Climate Forum e.V. (GCF), Neue Promenade 6, 10178 Berlin. Germany

⁹ Laboratori d'Enginyeria Marítima, Universitat Politècnica de Catalunya, BarcelonaTech, c/Jordi Girona 1-3, Campus Nord ed D1, Barcelona 08034. Spain

¹⁰ Stockholm Environment Institute, Box 24218, Linnégatan 87D, 104 51 Stockholm. Sweden.

¹¹ Centre for Climate Science and Policy Research, Linköping University. Sweden.

- ¹² Geo Risks Research, Munich Reinsurance Company, Koeniginstrasse 107. 80791 Munich. Germany.
- ¹³ Ocean Engineering Department - COPPE, Federal University of Rio de Janeiro, Caixa Postal 68508, Rio de Janeiro RJ - 21949-900. Brazil.
- ¹⁴ CIMA, University of Algarve, Gambelas Campus, Faro 8005-139. Portugal.
- ¹⁵ IMPEC, Norwegian Institute for Air Research, Box 100, 2027 Kjeller. Norway.
- ¹⁶ School of Civil, Environmental and Mining Engineering and University of Western Australia Ocean Institute, Mailstop MO15, The University of Western Australia 35, Stirling Highway Crawley, WA 6009 Australia
- ¹⁷ Environmental Change Institute, University of Oxford, South Parks Road, Oxford. OX1 3QY. UK
- ¹⁸ Department of Earth Sciences, University of Bristol, Wills Memorial Building, Queens Road, Bristol. BS1 5JU. UK
- ¹⁹ Ministry of Environment and Energy, Green Building, Handhuvaree Hingun, Maafannu, Malé, 20392, Republic of Maldives..
- ²⁰ Coastal Risks and Sea-Level Rise Research Group, Future Ocean Excellence Cluster, Institute of Geography, Christian-Albrechts-University Kiel, Ludewig-Meyn-Str. 14, Kiel 24098, Germany
- ²¹ Institute for Environmental Studies (IVM), VU University Amsterdam, De Boelelaan 1087, 1081 HV Amsterdam. The Netherlands
- ²² School of Earth and Environmental Sciences, University of Wollongong, Wollongong, NSW 2522. Australia

Prepared for a Nature Climate Change Commentary.

1485 words, 15 references, 2 figures.

With the release of the Intergovernmental Panel on Climate Change's Fifth Assessment Report (IPCC AR5), climate change has again been identified as an important driver of change. Coasts are particularly vulnerable, directly affected by increasing sea levels and storminess, and other climate drivers, whilst accentuated by converging indirect landward and seaward influences. Adverse consequences include increased flooding, salinisation, erosion and wetland and biodiversity loss¹. Several recent extreme meteorological events have caused catastrophic human and economic losses in coastal areas, such as Cyclone Nargis (Myanmar, 2008), Storm Xynthia (France, 2010), Hurricane Sandy (eastern United States, Canada and Caribbean, 2012) and Typhoon Haiyan (Philippines, 2013). Although coasts have always been hazardous places to live, global economic losses have significantly increased in recent decades². Climate change is exacerbating those risks. This article demonstrates how successive IPCC coastal chapters^{1, 3, 4, 5, 6} have shifted from impacts towards adaptation, assessing the relative role of climate change within a broader environmental framework, with increasing clarity and nuance, despite continuing uncertainties.

Although coastlines are naturally dynamic, climate change is considered responsible for many impacts over the long term. However, other factors also play an important role,⁷ requiring a systems approach to understand the adaptation challenge (shown through the integral of drivers in Figure 1). In 1990, when the IPCC released its First Assessment Report, projected coastal impacts of climate change were primarily qualitative. Quantitative impacts, where presented, were often large, and subject to considerable uncertainty. For example, between the First³ (1990) and Second⁴ (1995) Assessment Reports, the percentage of projected gross national product estimated to be required for protection from a 1m sea-level rise in Kiribati decreased from 19% to less than 1%. This reflected significant changes in assessment methodology, including understanding of impact response and analysis of protection. Such adjustment to assessment methodology is an ongoing process⁸. Thinking has progressed: Figure 2 illustrates the evolving nature of IPCC reports with respect to

coasts, determined by chapter headings, text content and keyword searches. Gone is the vagueness regarding potentially large impacts, with unknown or low confidence found in early reports where 'do nothing' was the assumed option. Instead, IPCC AR5 brings an optimistic message, increasingly highlighting the role of long-term adaptation and risk management solutions. Following the Fourth Assessment Report (AR4)⁶, AR5 places greater prominence on other drivers of change, including variable sediment supply, subsidence, population growth and economic development. The complex combinations of these, together with stakeholder engagement (i.e. those who use or benefit from the coast) and appropriate adaptation requires further consideration. Such an integrated approach could form the basis for a coastal chapter in a potential Sixth Assessment Report.

As coasts are subject to a diverse range of land uses, stakeholders and investments, both internal and external to the coastal zone, this can create adverse physical, ecological and socio-economic interactions, and generate potential for 'wicked problems' to develop. Wicked problems are those that are complex, challenging, with multiple feedbacks, are highly uncertain and have ambiguous solutions⁹. Indeed, solutions may generate further, unforeseen problems, leading to long-term coastal degradation.^{10,11} For example, growing population and economies need water. On deltas and alluvial plains groundwater pumping can meet this demand. However, this can lead to significant subsidence. In Bangkok, several metres of subsidence resulted over just a few decades (in contrast, global sea-level rise was only $1.7 \pm 0.2 \text{ mm/yr}^{12}$). Legislation regulating extraction subsequently reduced the rate of subsidence,¹³ but Bangkok was left with a legacy of increased flood risk, demanding an adaptation response. Herein a paradox exists: Economic and population growth can increase risk, but economic growth and prosperity promotes adaptive capacity. Additionally large-scale groundwater mining has global implications as it increases global mean sea-level rise (albeit by a few tenths of a millimetre per year¹²). Therefore, although impacts could be local in scale over a decadal period, cumulatively they may have global significance over centennial periods. Despite this insight, other cities are repeating this mistake (e.g. Jakarta).

The challenge is to address the driver of the hazard while continuing to promote economic growth and sustain wellbeing. As shown in Figure 1, strategic management needs to place priority on immediate impact from human activities, but recognise larger-scale contexts such as climate change, addressing present, urgent issues, while simultaneously anticipating future challenges.

With millions of people using the coast, integrated management and legislation should help to balance multiple land uses and interests, while sustaining long-term environmental quality. Monitoring of the coast and mutual learning can help to identify potential problems before they arise, and allow adaptive responses to be planned. The coastal chapter of AR5¹ draws attention to the growing recognition of adaptation practise, integrated adaptation and synergies and antagonisms with climate mitigation. However, for wicked problems it is sometimes challenging to see the root cause of a problem today (either physically or through the legacy of local decision making in shoreline management), let alone far into the future. Wicked problems may not be physically driven, but could be entrenched, perhaps unwittingly, in present policy and priorities of decision makers. As G.K. Chesterton wrote in 1935¹⁴, 'It isn't that they can't see the solution. It is that they can't see the problem.' If we are unsure of the complex processes and interactions of coastal change and policy implementation today, how can we address impacts, deal with uncertainties and, where necessary, plan adaptation for the future?

As Figure 2 shows, particularly since AR4⁶, climate change in coastal zones is no longer recognised as a single driver of change, and a systems approach to impacts and adaptation is undertaken. A range of adaptive responses are considered, so the system is seen in a wider context. The Thames Estuary 2100 Project, which assessed the best ways of protecting London from tidal flooding over the next century and beyond, provides a good example of an adaptation response, by producing a range of possible adaptation options (see right hand side of Figure 1). Termed adaptation pathways, these involve a time-

independent sequence of actions responding to multiple drivers and uncertainties, and guided by the magnitude of sea-level rise to determine when and where it is optimum to adapt¹⁵. Multiple future pathways keep adaptation responses open. Learning more about drivers and responses to change provides managers with a wider range of adaptation options.

The evolution of thinking on coastal systems has meant that adaptation has happened in ways not anticipated in early IPCC assessments. For example, small, low-lying remote islands are rightly seen as high-risk areas due to multiple climatic forcings, and a limited ability to respond or protect themselves against hazards, particularly if access to finance is low⁸. However, capital cities of many small islands (e.g. Malé, Maldives) are densely populated, and over the long term, land-use pressures are creating as many problems as sea-level rise. However, necessity is the mother of invention, and coastal dwellers can be ingenious by extending the habitable area through land claim, whilst taking into account sea-level rise. The new island of Hulhumalé, adjacent to the Maldivian capital, has been claimed from a reef since 1997 to reduce land use pressure on Malé taking into account sea-level rise. Hence for one island, adaptation to climate change has meant building upwards as well as outwards, but this is not the norm. Climate change is not the only focus, as other issues remain: proximity of settlement to the coastline, population pressure in cities, sediment shortages to defend islands and reclaim land, coral reef quality, water resources, human health, fisheries and maintaining income-generating activities such as tourism. As with other nations claiming land (e.g. Singapore), sea-level rise can be incorporated into the design, but forward and long-term adaptation planning, incorporating local solutions, suitable finance, scientific understanding and engineering ingenuity, is required. Best practises of adaptation include an on-going learning process which should become a key aspect of practise and future IPCC reports.

Multi-disciplinary systems approaches to planning and sustainability practises puts coastal zone adaptation into a wider perspective. Adaptation pathways recognise multiple futures, partly shaped by decision making (Figure 1). The IPCC perspective has shifted from impacts to adaptation reflecting a growing focus on integrated approaches to reducing risk that rely on flexible adaptation options and management. These aim to be effective regardless of how environments change. Coastal managers now need to implement a further shift to planning and implementation, with an emphasis placed on resilience, cost-effectiveness and working with nature. Furthermore, adaptive, sustainable planning should be undertaken in a wider socio-economic development framework, taking into account human needs - many of which are more immediate than climate change. Rather than pointing the finger only at climate change and assuming it inevitably spells disaster, there is a need to better understand climatic and non-climatic drivers of coastal change and their interactions at different spatial and temporal scales. Lastly, adaptation will reduce risk, but not eliminate it, nevertheless we can shift our expectations to better understand multiple interacting drivers of change and plan and implement more effective adaptive responses.

References

1. Wong, P.P. *et al.* In: *Climate Change 2014: Impacts, Adaptation and Vulnerability*. (Cambridge Univ. Press, 2014).
2. Kron, W. *Nat. Hazards* **66**, 1363-1382 (2013).
3. Tsyban, A., Everett, J.T. & Titus J.G. In: *Climate Change: The IPCC Impacts Assessment* (eds McG Tegart, W.J., Sheldon, G.W. & Griffiths, D.C.) 6.1-6.28 (Australia Gov. Publ. Service, 1990).
4. Bijlsma, L. *et al.* In: *Climate Change 1995: Impacts, Adaptations, and Mitigation of Climate Change: Scientific-Technical Analyses* (eds Watson, R.T., Zinyowera, M.C. & Moss, R.H.) 289-324 (Cambridge Univ. Press, 1996).
5. McLean, R. *et al.* In: *Climate Change 2001: Impacts, Adaptation and Vulnerability* (eds McCarthy, J.J., Canziani, O.F., Leary, N.A., Dokken, D.J. & White, K.S.) 343-380 (Cambridge Univ. Press, 2001)
6. Nicholls, R.J. *et al.* In: *Climate Change 2007: Impacts, Adaptation and Vulnerability* (eds Parry, M.L., Canziani, O.F., Palutikof, J.P., van der Linden, P.J. & Hanson, C.E.) 315-356 (Cambridge Univ. Press, 2007).
7. Newton, A. & Weichselgartner, J. *Estuar., Coast. Shelf Science* **140**, 123-133 (2014).
8. Nurse, L. *et al.* In: *Climate Change 2014: Impacts, Adaptation and Vulnerability* (Cambridge Univ. Press, 2014).

9. Rittel, H.W. & Weber, M.M. Dilemmas in a general theory of planning. *Policy Sciences* **4**, 155-169 (1973).
10. Klein, R.J.T. In: *Climate: Global Change and Local Adaptation* (eds Linkov, I. & Bridges, T.S.). 157-168 (Springer, 2011).
11. Moser, S.C., Williams, J.S. & Boesch, D. *Annual Rev. Environ. Resour.* **37**, 51-78 (2012).
12. Church, J.A. *et al.* In: *Climate Change 2013: The Physical Science Basis* (eds Stocker, T.F. *et al.*) (Cambridge Univ. Press, Cambridge).
13. Taniguchi, M. (ed). *Groundwater and subsurface environments. Human impacts in Asian coastal cities* (Springer, 2011).
14. Chesterton, G.K. *The scandal of Father Brown* (Cassell & Co, 1935).
15. Ranger, N., Reeder, T. & Lowe, J. *EURO J. Decis. Process.* **1**, 233-262 (2013).

Acknowledgement: *The authors organised and contributed to a workshop funded by the Worldwide Universities Network (WUN) hosted by the University of Southampton, UK.*

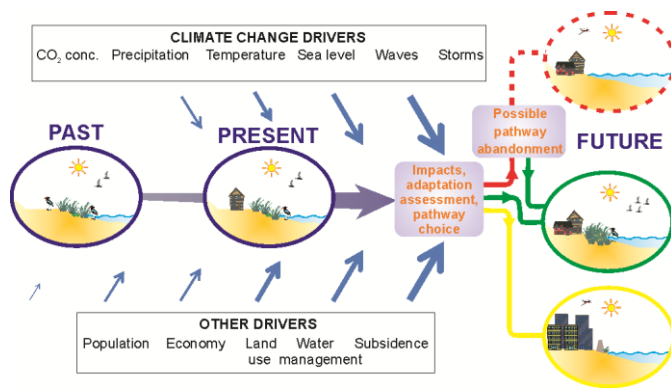
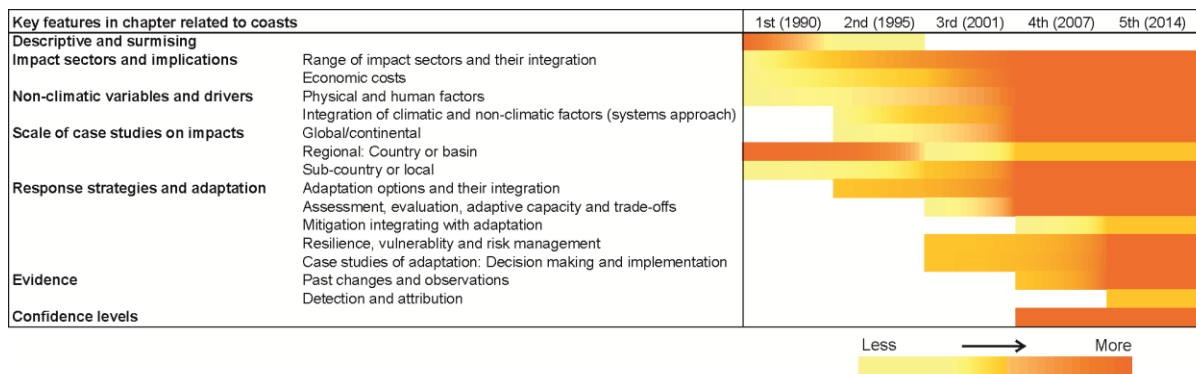


Figure 1. A systems approach to long-term strategic adaptation policies and planning (adapted from Figure 6.1 of AR4⁶). Climatic and non-climatic drivers influence coastal systems, and interact with each other, as denoted along the central 'time' arrow. Ellipses represent coasts, impacts and adaptation as a result of drivers and human choice. Adaptation response can reduce impacts, best assessed through adaptation pathways. Some adaptation pathways may ultimately end in an undesirable future (checked lines), so to avoid this, an alternative pathway is sought.



Increasing mentions and analyses of key features in IPCC coastal chapters (1990-2014) based on chapter headings, text content and key word searches.

Figure 2. Evolution of the IPCC coastal chapter and its methodological approaches^{1, 3, 4, 5, 6}

SUBMITTED VERSION PRE-REFERENCING